

**NEW UTILITY APPLICATION REQUEST  
TRANSMITTAL UNDER 37 C.F.R. §1.53(b)**

Docket No: C0011/7007

17399 U.S. PTO  
10/614950  
07/08/03

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing label number: EV 261309710 US  
Date of Deposit: July 8, 2003

I hereby certify that the following Correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Brenda A. Kantorski*  
Brenda A. Kantorski

Box Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**This is a request for a filing of a new utility patent application under 37 C.F.R. §1.53(b) for the following:**

Inventor(s): Skott C. Klebe

Title: METHOD AND APPARATUS FOR TRACKING AND CONTROLLING E-MAIL  
FORWARDING OF ENCRYPTED DOCUMENTS

**Enclosures**

- 38 Pages of Specification, including claims and abstract
- 27 Sheets of Formal Drawing(s)
- ☒ Application Data Sheet
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Declaration and Power of Attorney
- ☒ Applicant claims small entity status
- ☒ Assignment(s) of the Invention and Assignment Recordation Cover Sheet
- ☐ PTO 1449 and a copy of each cited reference
- ☒ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i)
- ☒ Return Receipt Postcard
- ☐ Other:

**Filing Fees**

Claims as Filed					
	Claims Filed	Basic Fee Allowance	Number of Extra Claims	Rate	Fees Due
Basic Fee, 37 CFR §1.16(a)					\$750.00
Total Claims (37 CFR §1.16(c))	42	- 20 =	22 X	\$18.00 =	\$ 396.00
Independent Claims (37 CFR §1.16(b))	3	- 3 =	0 X	\$84.00 =	\$ 0.00
Reduction by 50% for filing by small entity					\$ 573.00
Assignment Recording Fee					\$ 0.00
Total Filing Fee					<b><u>\$ 573.00</u></b>

**Payment**

- ☒ Check in the amount of the total filing fee is enclosed.  
☐ Charge Account No. 02-3038 in the amount of the total filing fee.  
A duplicate of this transmittal is attached.

**Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge any additional fees incurred under 37 C.F.R. §1.16, §1.17 and §1.18 required by this paper and during the entire pendency of this application to Account No. 02-3038.

**Correspondence Address**

Please forward all correspondence to  
Paul E. Kudirka, Esq. at the address of customer no:

**21127**

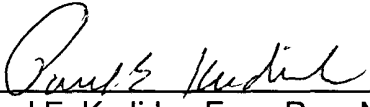
PATENT TRADEMARK OFFICE

*Paul E. Kudirka*  
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Date: 7/8/03

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i)</b>	Docket No. C0011/7007
Applicant: Skott C. Klebe For: METHOD AND APPARATUS FOR TRACKING AND CONTROLLING E-MAIL FORWARDING OF ENCRYPTED DOCUMENTS	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. §122(b).

  
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Date: 7/8/03